

INFORMATION DISCLOSURE STATEMENT

Applicant : Jagadish C. Sircar, et al.
 App. No. : 10/661,296
 Filed : September 12, 2003
 For : PHENYL-AZA-BENZIMIDAZOLE
 COMPOUNDS FOR
 MODULATING IgE AND
 INHIBITING CELLULAR
 PROLIFERATION
 Examiner : Unknown
 Group Art Unit : 1614

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

February 19, 2004
 (Date)

Connie C. Tong

Connie C. Tong, Reg. No. 52,292

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing six references. Copies of disclosed U.S. patents and/or publications are not enclosed pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of 3 non-U.S. references are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: February 19, 2004

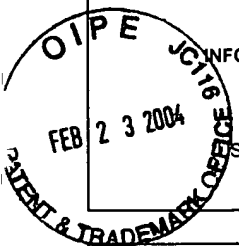
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
AVANIR.112AAPPLICATION NO.
10/661,296INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Jagdish C. Sircar, et alFILING DATE
September 12, 2003GROUP
1614

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,124,336	6/23/92	Bru-Magniez, et al.			
	5,322,847	6/21/94	Marfat et al.			
	6,093,728	7/25/00	McMahon, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 1 125 936A2	8/22/01	EP	C07D	471/04		
	EP 415 886 A2	8/22/90	EP (German Text; Abstract attached)	C07D	471/04		
	EP 0385 850A2	2/27/90	EP (French text; Abstract attached)	C07D	401/14		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.